

# Mexico 2006 4th World Water Forum

Local  
Actions  
for a  
Global  
Challenge



## Preparatory Process



# Preparatory Process

The 4<sup>th</sup> World Water Forum will be held in Mexico City from March 16<sup>th</sup> – 23<sup>rd</sup>, 2006<sup>1</sup>. In line with its main theme, “Local Actions for a Global Challenge”, the Forum will rely heavily on its preparatory process to bring together the viewpoints of local stakeholders from around the world. The objective of the Preparatory Process is to come to the Forum with a number of quality topic-sessions, illustrated by representative and successful local actions, which propose specific policy changes on global water issues. By contributing to the strengthening of local actions, this Forum will be a powerful advocacy tool for providing access to water and sanitation and for improving the use of water resources for the betterment of society as a whole.

The Forum’s Preparatory Process provides two means by which any organization, institution, network or individual wishing to take part in the Forum can provide its input:

- Thematic Process
- Regional Process

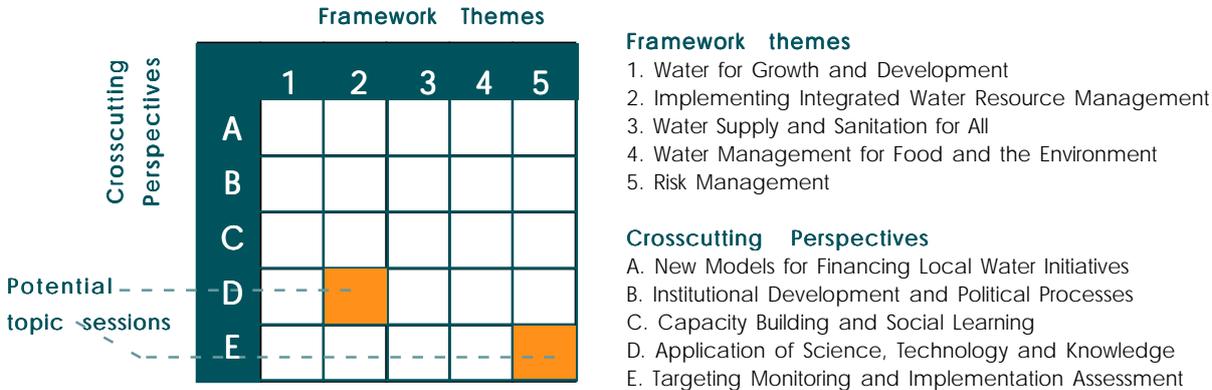
In addition, the Forum will seek to involve major groups in these processes. Each of them is explained below, followed by the way in which these processes will interact in the period leading up to the Forum. It continues to explain session preparation and the role of sessions conveners at the Forum itself in March 2006.

## Thematic Process

### Thematic Matrix

In order to create a purposeful policy-oriented dialogue between diverse stakeholders at the local level, the organizers, in consultation with many representatives of the global water community, have broken down the complex question of global water into complementary themes. These so-called **Framework Themes** represent some of the most important challenges and problems faced by the world. Important processes and factors that affect the unfolding of local actions worldwide are represented by five **Crosscutting Perspectives**. The Framework Themes and Crosscutting Perspectives together form a **Thematic Matrix**. This matrix helps potential participants at the Forum in Mexico to locate their specific interests, fields of expertise, agendas, etc., and in this manner specifically guide their efforts and contributions to the Forum.

Thematic Matrix



<sup>1</sup> Co-organized by the Mexican Government and the World Water Council, following previous World Water Fora in Morocco (1997), the Netherlands (2000) and Japan (2003).

## *Beacons*

In order to develop the framework themes and crosscutting perspectives for the Forum the organizers have appointed so-called **beacons** for each theme and perspective. Beacons are large organizations or groups of organizations that are world leaders in that particular field<sup>2</sup>, and which in many cases represent a diversity of stakeholders and viewpoints. The beacons have been asked to produce **baseline documents** for their respective themes, which serve as reference material to trigger reflection and debate throughout the Preparatory Process. In line with the overarching theme of the Forum, they should specifically address the issues and challenges from a local perspective.

In a number of large regional or thematic meetings before the Forum, beacons will present their themes or perspectives. Taking note of the debates and synthesising the results of these meetings they will, in January 2006, present final **thematic documents** for discussion at the Forum. Should any clearly conflicting views be present in the Preparatory Process, these should be highlighted in their documents, since it is on these points that the debate at the Forum should take place. The beacons are thus the leaders of the thematic process and the providers of the basis for discussion at the Forum.

## The Regional Process

### *Regional Committees*

In addition to the Thematic Process, the Forum organizers have added a Regional Process. This will allow important regional concerns on water to also be highlighted at the Forum. For this, the organizers are inviting important regional bodies representing a diversity of stakeholders to sit in so-called **Regional Committees**. For practical purposes the regions are limited to five, namely Africa, America, Asia-Pacific, Europe and the Middle East, although in some cases Subregional Committees may also be formed. The Regional Committees will be in charge of leading the Regional Process.

These Regional Committees are kept small but constituted in such a way that they represent varying viewpoints of the different groups of stakeholders within that region, including the major groups. The Regional Committees are in charge of coordinating and organizing Regional Meetings and encouraging the organization of Local Workshops in their region. The results of these meetings should be reported back to the beacons as well as incorporated into regional position papers, which will form the basis for their proposal for policy improvements. The regional approach opens the process up to a large number of participants, who may thus have a positive input on the process without having to actually attend the Forum itself in March 2006.

### *Regional Meetings*

**Regional Meetings** are either stand-alone events specifically organized to prepare for the Forum or sessions organized during already scheduled events in the region, specifically devoted to debating the Forum's thematic content.<sup>1</sup> These meetings will bring together a large cross-section of stakeholders from different countries in that region to discuss particular elements of the thematic content. The organizers will also be asked to stimulate the identification of Local Actions through these meetings. The quality of the participants

<sup>2</sup> Please see Annex 1 for a list of beacons

<sup>3</sup> For a list of Regional Meetings, please refer to <http://www.worldwaterforum4.org.mx/home/where.asp?lan>

of these regional meetings (i.e. high ranking officials, top level experts, etc.) will affect the impact on water policy-making in each region.

**Local Workshops**

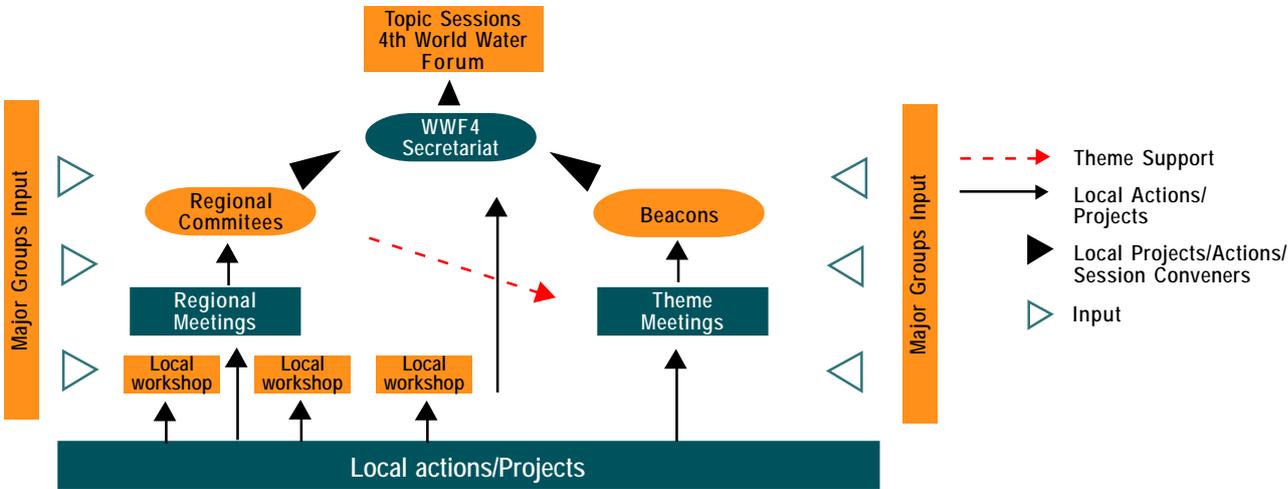
Local Workshops are deliberative arenas where different types of local stakeholders interact and hold dialogue, sharing knowledge and voicing concerns regarding both the barriers and opportunities faced when attempting to deal with local water issues. These workshops are in most cases already-scheduled meetings, the results of which are fed into the Forum’s Preparatory Process (through the Regional Committees or Beacons). Alternatively, there may be workshops organized by representatives of civil society (major groups, stakeholders and others) through which they want to support their own cause, thus bringing local water issues to the Forum. These workshops may be either physical or virtual, the latter through the 4<sup>th</sup> Forum website. Local Actions coming from Local Workshops will be privileged as representative examples for presentation at the Forum. For support in organizing a Local Workshop please refer to our website.

**Local Actions**

Local Actions are one of the main outcomes of the preparatory process and inputs to the Forum program. Through the preparatory process, Local Actions will be identified in order to bring down barriers for solving water issues at the local level. Local Actions will be identified by all participants especially during the Regional Meetings and Local Workshops. These Local Actions will address barriers and opportunities encountered at the local level and serve as examples of the innovative and participatory means found of dealing with them.

Actions that have shown to have a significant impact (and that might be scaled up and replicated) will be particularly highlighted as examples that others might follow. A number of such actions will be selected to be presented during sessions at the Forum, to be included through poster presentations, or simply to be reflected in the database on the 4<sup>th</sup> Forum website. The next diagram sketches out the aforementioned process.

**Identification of Topic Sessions and Local Actions**



## Major Group Involvement

The Forum Secretariat will seek to include several major groups, namely children and youth, gender, indigenous peoples, NGOs, business, farmers, workers' unions and local authorities, in the preparatory process. This will ensure that their particular perspectives are considered both in the preparatory process and the Forum itself. In order to ensure the input and participation of the already-existing networks, working relationships with Major Group Coordinators will be created. The list of these Coordinators will be available shortly on the 4<sup>th</sup> Forum website.

They will be asked to ensure consolidated input from each of their major groups on the thematic content and related issues. These comments will then be incorporated as an integral part of the Forum's final thematic and regional documents. The Forum Secretariat will promote this process, and will also facilitate the participation of a number of representatives of these major groups at the Forum itself, selected through the Major Group Coordinators. This will give them the opportunity to debate with local actors, international organizations, experts, ministers, legislators, and financial institutions. Major groups may also wish to prepare specific position papers for the Forum itself.

## Interaction between Groups and Processes

In **February 2005**, a first meeting was held with beacons and regional representatives in Mexico City. Draft baseline documents were submitted and the general outline of the preparatory process was discussed. At the end of **April 2005**, the updated baseline documents will be made public, as a starting point to the Preparatory Process. Any organization wishing to debate on the issues raised in the baseline documents may comment directly to the beacons, with a copy for the Forum Secretariat, organize a local workshop, a virtual workshop or a regional meeting, or, if appropriate, approach the question through one of the major groups. All information provided as outputs of these workshops and meetings will be available for consultation on the 4<sup>th</sup> Forum website.

Consultation between the regional and thematic processes will be ongoing, but a second preparatory workshop for Beacons and Regional Committees will be held in **June 2005** to bring these two elements closer together. The results of this process will provide input for the next stage in **October 2005**, in which thematic documents with greater synergy between the various positions will be produced, along with five specific regional position papers, essentially crystallising the main thematic concerns from each of the regions. Both the regional and thematic documents will then be discussed at a preparatory workshop, to be held in **November 2005**.

The results of this workshop, in particular as regards the interaction between the regional and thematic processes, with due consideration for the opinions of the major groups, will then be used to update these regional and thematic documents into more focused, multi-stakeholder efforts. These documents will be the final versions for discussion by participants at the Forum, and as such should be finalized by **mid-January 2006**, to allow time for their advance consideration.

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Throughout 2005, the elements of the Preparatory Process mentioned previously will provide input for the **ministerial process**, contributing to a Ministerial Declaration that is scheduled to be signed at the Forum itself. This process will include the considerations of major groups, thematic, regional and local concerns, as well as providing the subjects for discussion during the Ministerial Conference itself. In addition, innovative means of involving ministers and other participants will be sought to debate the key thematic elements of the Forum. The Secretariat will ensure that the thematic and regional concerns are considered by the Ministerial process.

The role of the **Forum Secretariat** is to provide the platform for all of the above to happen, facilitating, supporting and creating opportunities for interaction between the aforementioned actors, gathering and disseminating the information generated through this process, catalysing the debate, stimulating consensus-building, and managing the resources, process and network. The World Water Council supports the Secretariat of the Forum in these activities.

## Sessions and Session Convenors

All session proposals should be made ultimately to the Forum Secretariat. Beacons and Regional Committees will make proposals for session convenors and ultimately for sessions. Sessions should aim to be multi-stakeholder platforms for open debate on the thematic content of the Forum.

In addition, any organization that has organized a local workshop, a virtual workshop or regional meeting may propose to organize a session at the Forum. The session convenors are in charge of selecting the appropriate local actions to represent the specific focus of their theme, and of facilitating or even funding the participation of these local actors to present their actions during the sessions.

Sessions are one of the most important tools to make the Forum attractive, purposeful and successful. That is why the organizers attach a great deal of importance to the initiation, development and selection of sessions. Sessions have to at least adhere to the following criteria:

- Subjects to be presented and/or discussed fall into the framework themes
- A major part is devoted to local actions/projects
- Speakers or representatives of many stakeholders/major groups are included
- Conflicting issues within a theme or between themes, exchanges between different views, comparisons between local solutions in different regions or other exchanges are part of the design of the session
- New developments, new views or new stakeholders –given the state of the art of that theme– are included in the session proposal
- Original ways to include participants in the sessions should be sought
- Innovative elements should be found that enable the session to get the attention of a larger crowd than those attending the session, i.e. involvement of well known key personalities and/or communication activities –with relevant messages– around the session.

Proposals for session convenors should be made as early as possible, but not later than July 1<sup>st</sup>.

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## The Forum itself

Each day of the Forum will have a particular focus on one of the framework themes. The crosscutting perspectives will be dealt with throughout the week, as will the regional perspectives. In addition, each of the regions will have one opening plenary session to present their regional position papers. The themes of any particular day will be illustrated through the organization of topic-sessions, which should deal with the interaction between that framework theme and a particular crosscutting perspective, preferably with a regional focus. A topic-session, for example, could be about issues related to capacity building for implementing IWRM in sub-Saharan Africa or some aspects related to targeting, monitoring and assessment in matters concerning risk management.

Session convenors should encourage concrete conclusions from their sessions, and report on these conclusions to the Forum organizers, who will disseminate this information. Based on the summaries of the sessions, and incorporating the key debates, conclusions and positions from the Forum a final report will be prepared after the Forum, tentatively scheduled for **June 2006**, entitled "Local Actions for a Global Challenge".

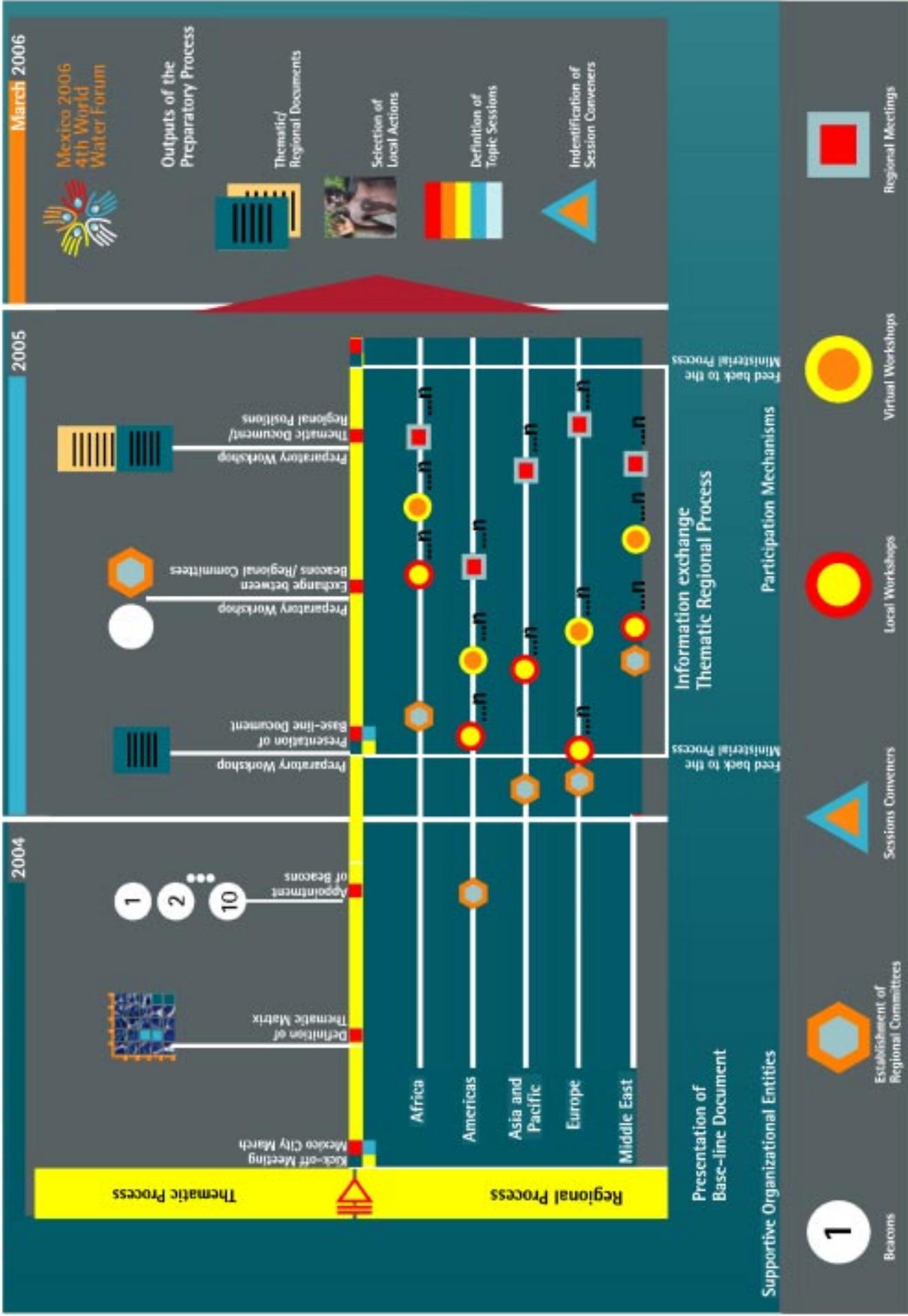
## Annex 1. List of Beacons

| Framework themes  |  | Crosscutting perspectives  |  |
|---|--|--|--|
| Organizations   | Leaders  | Organizations  | Leaders  |
| <b>1. Water for Growth and Development</b>  |  | <b>A. New Models for Financing Local Water Initiatives</b>   |  |
| World Bank<br><a href="http://www.worldbank.org">www.worldbank.org</a>  | David Grey<br>dgrey@worldbank.org<br>Claudia Sadoff<br>csadoff@worldbank.org   | National water Commission of Mexico<br><a href="http://www.cna.gob.mx">www.cna.gob.mx</a><br>World Water Council<br><a href="http://www.worldwatercouncil.org">www.worldwatercouncil.org</a>                           | Angel Gurria<br>Daniel Zimmer<br>d.zimmer@worldwatercouncil.org  |
| World Water Council<br><a href="http://www.worldwatercouncil.org">www.worldwatercouncil.org</a><br>National Water Commission of Mexico<br><a href="http://www.cna.gob.mx">www.cna.gob.mx</a>                                    | Paul Van Hofwegen<br>p.vanhofwegen@worldwatercouncil.org<br>Roman Gomez<br>roman.gomez@worldwaterforum4.org.mx                       | <b>B. Institutional Development and Political Processes</b>  |  |
| <b>2. Implementing Integrated Water Resources Management</b>  |  | Partnership Oxford Centre for Water Research-Development<br><a href="http://www.ucl.ac.uk/dpu/">www.ucl.ac.uk/dpu/</a>   | Adriana Allen<br>dpu@ucl.ac.uk<br>Esteban Castro<br>jecastro@herald.ox.ac.uk   |
| Global Water Partnership<br><a href="http://www.gwpforum.org">www.gwpforum.org</a>  | Torkil Jonch-Clausen<br>tjc@dhi.dk<br>Margaret Catley-Carlson<br>Alan Hall<br>alan.hall@gwpforum.org                                 | Latin American Faculty for Social Sciences<br><a href="http://www.flacso.edu.mx">www.flacso.edu.mx</a><br>National Water Commission of Mexico<br><a href="http://www.cna.gob.mx">www.cna.gob.mx</a>                    | Maria Luisa Torregrosa<br>mltorre@flacso.edu.mx<br>Roman Gomez<br>roman.gomez@worldwaterforum4.org.mx                                  |
| National Water Commission of Mexico<br><a href="http://www.cna.gob.mx">www.cna.gob.mx</a>   | Juan Carlos Valencia<br>juan.valencia@cna.gob.mx   | <b>C. Capacity-building and Social Learning</b>  |  |
| <b>3. Water Supply and Sanitation for All</b>   |  | UNESCO-IHE<br><a href="http://www.unesco-ihe.nl">www.unesco-ihe.nl</a>   | Richard Meganck<br>r.meganck@unesco-ihe.org<br>Jan Luijendijk<br>j.luijendijk@unesco-ihe.org   |
| UNDP<br><a href="http://www.undp.org">www.undp.org</a>  | Joakim Harlin<br>joakim.harlin@undp.org<br>Roberto Lenton<br>rlenton@iri.columbia.edu<br>Shoji Nishimoto<br>shoji.nishimoto@undp.org | CapNet<br><a href="http://www.cap-net.org">www.cap-net.org</a><br>IRC<br><a href="http://www.irc.nl">www.irc.nl</a><br>World Water Council<br><a href="http://www.worldwatercouncil.org">www.worldwatercouncil.org</a> | Paul Taylor<br>paul.taylor@cap-net.org<br>Paul Van Koppen<br>koppen@irc.nl<br>Paul Van Hofwegen<br>p.vanhofwegen@worldwatercouncil.org |
| National Water Commission of Mexico<br><a href="http://www.cna.gob.mx">www.cna.gob.mx</a>   | Polioptro Martinez<br>polioptro.martinez@cna.gob.mx  | STREAMS<br><a href="http://www.streams.net">www.streams.net</a>  | Rory Villaluna<br>rory.villaluna@streamsoknowledge.net   |
| <b>4. Water Management for Food and the Environment</b>   |  | Cooperative Climate on Water and Climate<br><a href="http://www.wac.ihe.nl">www.wac.ihe.nl</a>   | Henk Van Schaik<br>hvs@ihe.nl  |
| Dialogue for Food and Environment<br><a href="http://www.iwmi.org/dialogue">www.iwmi.org/dialogue</a>   | Frank Rijsberman<br>f.rijsberman@cgiar.org<br>Christopher Scott<br>c.scott@cgiar.org   | <b>D. Application of Science, Technology and Knowledge</b>   |  |
| National Water Commission of Mexico<br><a href="http://www.cna.gob.mx">www.cna.gob.mx</a>   | Luis Rendón<br>luis.rendon@cna.gob.mx  | International Water Association<br><a href="http://www.iwahq.org.uk">www.iwahq.org.uk</a>  | Paul Reiter<br>paul.reiter@iwahq.org.uk<br>Darren Saywell<br>Darren.Saywell@iwahq.org.uk   |
| <b>5. Risk Management</b>   |  | Mexican Institute for Water Technology<br><a href="http://www.imta.mx">www.imta.mx</a>   | Alvaro Aldama<br>aaldama@tlaloc.imta.mx  |
| World Meteorological Organization<br><a href="http://www.wmo.ch/index-en.html">www.wmo.ch/index-en.html</a><br>Cooperative Program on Water and Climate<br><a href="http://www.waterandclimate.org">www.waterandclimate.org</a> | Avinash Tyagi<br>tyagi_a@gateway.wmo.ch<br>Henk van Schaik<br>hvs@ihe.nl   | <b>E. Targeting Monitoring and Implementation Assessment</b>   |  |
| Japan Water Forum<br><a href="http://www.waterforum.jp">www.waterforum.jp</a><br>National Water Commission of Mexico<br><a href="http://www.cna.gob.mx">www.cna.gob.mx</a>  | Hideaki Oda<br>hideoda@aol.com<br>Michel Rosengaus<br>mrosengaus@mailsmn.cna.gob.mx  | World Water Assessment Program<br><a href="http://www.unesco.org/water/wwap">www.unesco.org/water/wwap</a><br>World Water Council<br><a href="http://www.worldwatercouncil.org/">www.worldwatercouncil.org/</a>        | Gordon Young<br>g.young@unesco.org<br>Daniel Zimmer<br>d.zimmer@worldwatercouncil.org  |

## Annex 2. Preliminary list of Regional Committees

| Region       | Organization  | Leaders  |
|--------------|---|--|
| Africa       | <p>African Development Bank<br/> <a href="http://www.afdb.org">www.afdb.org</a><br/>                     African Ministers Council on Water<br/>                     World Water Council<br/> <a href="http://www.worldwatercouncil.org/">www.worldwatercouncil.org/</a></p>  | <p>Bert Diphooorn<br/> <a href="mailto:b.diphooorn@afdb.org">b.diphooorn@afdb.org</a><br/>                     Maria Mutagamba<br/> <a href="mailto:maria@mwle.go.ug">maria@mwle.go.ug</a><br/>                     Daniel Zimmer<br/> <a href="mailto:d.zimmer@worldwatercouncil.org">d.zimmer@worldwatercouncil.org</a></p>  |
| Americas     | <p>Global Water Partnership Central America<br/> <a href="http://www.gwpforum.org">www.gwpforum.org</a><br/>                     World Bank<br/> <a href="http://www.worldbank.org">www.worldbank.org</a><br/>                     Inter-American Development Bank<br/> <a href="http://www.iadb.org">www.iadb.org</a><br/>                     Organization of American States<br/> <a href="http://www.oas.org">www.oas.org</a></p>   | <p>Maureen Ballester<br/> <a href="mailto:tempis@racsa.co.cr">tempis@racsa.co.cr</a><br/>                     Carolina Urrutia<br/> <a href="mailto:currutiavasquez@worldbank.org">currutiavasquez@worldbank.org</a><br/>                     Diego Rodriguez<br/> <a href="mailto:diegor@iadb.org">diegor@iadb.org</a><br/>                     Scott Vaughan<br/> <a href="mailto:SVaughan@oas.org">SVaughan@oas.org</a></p>   |
| Asia-Pacific | <p>Japan Water Forum<br/> <a href="http://www.waterforum.jp">www.waterforum.jp</a><br/>                     Asian Development Bank<br/> <a href="http://www.adb.org">www.adb.org</a><br/>                     UN-ESCAP<br/> <a href="http://www.unescap.org">www.unescap.org</a></p>  | <p>Hideaki Oda<br/> <a href="mailto:hideoda@aol.com">hideoda@aol.com</a><br/>                     Wouter T. Lincklaen Arriens<br/> <a href="mailto:wlincklaenarriens@adb.org">wlincklaenarriens@adb.org</a><br/>                     Le Huu Ti<br/> <a href="mailto:Ti.unescap@un.org">Ti.unescap@un.org</a></p>   |
| Europe       | <p>Council of Europe<br/> <a href="http://www.coe.int">www.coe.int</a><br/>                     European Commission<br/> <a href="http://europa.eu.int/comm">http://europa.eu.int/comm</a><br/>                     Netherlands Water Partnership<br/> <a href="http://www.nwp.nl">www.nwp.nl</a><br/>                     Danish Water Forum<br/> <a href="http://www.danishwaterforum.dk">www.danishwaterforum.dk</a><br/>                     Stockholm International Water Institute<br/> <a href="http://www.siwi.org">www.siwi.org</a><br/>                     World Water Council<br/> <a href="http://www.worldwatercouncil.org/">www.worldwatercouncil.org/</a></p> | <p>Antonella Cagnolati<br/> <a href="mailto:antonella.cagnolati@coe.int">antonella.cagnolati@coe.int</a><br/>                     Zissimos Vergos<br/> <a href="mailto:zissimos.vergos@cec.eu.int">zissimos.vergos@cec.eu.int</a><br/>                     Sana Limonova<br/> <a href="mailto:s.limonova@nwp.nl">s.limonova@nwp.nl</a><br/>                     Torkil Jonch-Clausen<br/> <a href="mailto:tjc@dhi.dk">tjc@dhi.dk</a><br/>                     Johan Kuylenstierna<br/> <a href="mailto:johan.kuylenstierna@siwi.org">johan.kuylenstierna@siwi.org</a><br/>                     Daniel Zimmer<br/> <a href="mailto:d.zimmer@worldwatercouncil.org">d.zimmer@worldwatercouncil.org</a></p> |
| Middle East  | <p>Islamic Development Bank<br/> <a href="http://www.isdb.org">www.isdb.org</a><br/>                     Arab Water Council<br/> <a href="http://www.arabwatercouncil.org">www.arabwatercouncil.org</a></p>   | <p>Karim Allaoui<br/> <a href="mailto:kallaoui@isdb.org">kallaoui@isdb.org</a><br/>                     Mahmoud Abu-Zeid<br/> <a href="mailto:abu-zeid@mwri.gov.eg">abu-zeid@mwri.gov.eg</a></p>   |

# Preparatory Process



The Secretariat of the  
4th World Water Forum  
Insurgentes Sur 2416,  
4th floor South Wing  
Copilco 04340, Mexico City  
MEXICO  
Phone: (+52 55) 5174 4480 or 4481  
Fax: (+52 55) 5174 4475  
e-mail: [feedback@worldwaterforum4.org.mx](mailto:feedback@worldwaterforum4.org.mx)  
website: [www.worldwaterforum4.org.mx/](http://www.worldwaterforum4.org.mx/)

The World Water Council  
Les Docks de la Joliette,  
Atrium 10.3  
10, place de la Joliette  
13002 Marseille  
FRANCE  
Phone: +33 (4) 91 99 41 00  
Fax: +33 (4) 91 99 41 01  
e-mail: [wwc@worldwatercouncil.org](mailto:wwc@worldwatercouncil.org)  
website: [www.worldwatercouncil.org](http://www.worldwatercouncil.org)

